TN 2615

Attorney's Docket No. 004906.P040

SEP 3 0 2005

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Re Application of:

Siegfried Luft

Application No.: 09/903,911

Filed:

July 11, 2001

For: Use of Transaction Agents to Perform

Distributed Transactions

Mail Stop IDS Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450 Examiner: Lewis Alexander

Bullock, Jr.

Art Unit: 2615

## INFORMATION DISCLOSURE STATEMENT

Sir:

Enclosed is a copy of Information Disclosure Citation Form PTO-1449 or PTO/SB/08 together with copies of the documents cited on that form, except for copies not required to be submitted (e.g., copies of U.S. patents and U.S. published patent applications need not be enclosed). It is respectfully requested that the cited documents be considered and that the enclosed copy of Information Disclosure Citation Form PTO-1449 or PTO/SB/08 be initialed by the Examiner to indicate such consideration and a copy thereof returned to applicant(s).

Pursuant to 37 C.F.R. § 1.97, the submission of this Information

Disclosure Statement is not to be construed as a representation that a search

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Carla Anysia Nascimento
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has been made and is not to be construed as an admission that the information cited in this statement is material to patentability.

Pursuant to 37 C.F.R. § 1.97, this Information Disclosure Statement is being submitted under one of the following (as indicated by an "X" to the left of the appropriate paragraph):

<del></del>	37 C.F.R. §1.97(b).
	37 C.F.R. §1.97(c). If so, then enclosed with this Information Disclosure Statement is <u>one</u> of the following:
	A statement pursuant to 37 C.F.R. §1.97(e) or
	A check for \$180.00 for the fee under 37 C.F.R. § 1.17(p).
	37 C.F.R. §1.97(d). If so, then enclosed with this Information Disclosure Statement are the following:
	(4) 4

- (1) A statement pursuant to 37 C.F.R. §1.97(e); and
- (2) A check for \$180.00 for the fee under 37 C.F.R. §1.17(p) for submission of the Information Disclosure Statement.

If there are any additional charges, please charge Deposit Account No. 02-2666.

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Dated: Sept 27, 2025

Eric S. Replogle Reg. No. 52,161

12400 Wilshire Blvd. Seventh Floor Los Angeles, CA 90025 (408) 720-8300

Substitute	for Form 1449	PTO		Complete if Known			
INFORMATION DISCLOSURE					Application Number	09/903,911	
					Filing Date	July 11, 2001	
STATEMENT BY APPLICANT (use as many sheets as necessary)					First Named Inventor:	Siegfried Luft	
	(88)	(use as	s many sheets as necessary)		Art Unit	2615	
SEP 3 0 200	) (4)			Examiner Name	Lewis Alexander Bullock, Jr.		
Sheet	1		of	1	Attorney Docket Number	004906.P040	
MADE			U.S. PATEN	T DOCUMENTS	3		
Examiner Cite No.1 Initials*		Document Number  Number-Kind Code <sup>2</sup> (if known)		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	
		US-	6,356,885	03-12-2002	Ross, et al.		
		US-	6,598,033	07-22-2003	Ross, et al.		
		US-	6,772,205	08-03-2004	Lavian, et al.		
		US-	6,816,862	11-09-2004	Mulgund, et al.		
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		FO	REIGN PATENT	DOCUMENTS		
Examiner Initials*	Cite No.¹	Foreign Patent Document  Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	Т6
Examine Signature				Date Cor	nsidered	

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kinds Codes of USPTO Patent Documents at <a href="www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SENT FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450. If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.



Effective on 12/08/2004. Fees pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4818).  TOTAL AMOUNT OF PAYMENT (\$) 180.00  Total amount of Payment (\$) 180.00							
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		ventor		ied Luft			
	er Nam			Alexander Bullock, Jr.			
Art Unit			2615	Alexander Bullock, St.			
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	_	Applica	ant clair	ns small entity status. See 37	CFR 1.27.		
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Code	(\$)	Code	(\$)	Fee Description	_	<u>Fees Paid (\$)</u>	
1011	300	2011	150	Utility application filing fee			
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					SUBTOTAL (1)	\$0.00	
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2. EXCESS CLAIM FEES

				Extra Claims		Fee from below		Fees Paid (\$)
	Claims			– 20 or HP =	X	\$50.00	=	
HP = 1	nighest n	iumber of	total cla	ims paid for, if greater than 20				
				- 3 or HP =	X	\$200.00	=	
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Large I	<u>Intity</u>	Small I	<u>Entity</u>					
Fee	Fee	Fee	Fee					
Code	(\$)	Code	(\$)	Fee Description				
1202	50	2202	25	Each claim over 20				
1201	200	2201	100	Each independent claim over 3				
1203	360	2203	180	Multiple dependent claims, if not paid				
1204	200	2204	100	Reissue: each claim over 20 and more	than	in the origin	nal p	atent
1205	50	2205	25	Reissue: each independent claim more				
					SUE	BTOTAL (2)	\$.	

### 3. APPLICATION SIZE FEE

If the specification and drawings exceed 100 sheets of paper, the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).

Total Sheets	<u>Extra</u>		umber of each add'l 0 or fraction thereof		Fee from below	Fees paid (\$)
	- 100 =	/50 = _	(round up to whole number)	X	<u>\$250.00</u>	
Large Entity Fee Fee Code (\$)	Small Entity Fee Fee Code (\$)		on: Application size fee for eal 100 sheets (count spec & drawing)		•	•
1081 250 1082 250 1083 250 1084 250	2081 125 2082 125 2083 125 2084 125	Utility Design Plant Reissue				

SUBTOTAL (3) \$ 0.00

### **FEE CALCULATION (continued)** 4. OTHER FEE(S) Fees Paid (\$) Non-English Specification, \$130 fee (no small entity discount) <u>Large E</u>ntity Small Entity Fee Fee Fee Fee Code (\$) Code (\$) Fee Description 1051 130 2051 65 Surcharge - late filing fee or oath 1052 50 2052 25 Surcharge - late provisional filing fee or cover sheet 1053 130 1053 130 Non-English specification 1812 2,520 1812 2,520 For filing a request for ex parte reexamination 8,800 8,800 1813 1813 Request for inter parties reexamination 1804 920\* 1804 920\* Requesting publication of SIR prior to Examiner action 1805 1,840\* 1805 1,840\* Requesting publication of SIR after Examiner action 1251 120 2251 60 Extension for reply within first month 1252 450 225 Extension for reply within second month 2252 1253 1.020 2253 510 Extension for reply within third month 1254 1,590 2254 795 Extension for reply within fourth month 1,080 1255 2,160 2255 Extension for reply within fifth month 1401 500 2401 250 **Notice of Appeal** 1402 500 2402 250 Filing a brief in support of an appeal 1403 1,000 2403 500 Request for oral hearing 1451 1,510 1451 1,510 Petition to institute a public use proceeding 2452 1452 250 500 Petition to revive - unavoidable 2453 1453 750 1,500 Petition to revive - unintentional 1,400 1501 2501 700 Utility issue fee (or reissue) 400 1502 800 2502 Design issue fee 1503 2503 550 1100 Plant issue fee 1462 400 1462 400 Petitions to the Commissioner (CFR 1.17(f) Group I) 1463 200 1463 200 Petitions to the Commissioner (CFR 1.17(g) Group II) 1464 130 1464 130 Petitions to the Commissioner (CFR 1.17(h) Group III) 1807 50 1807 50 Processing fee under 37 CFR 1.17(a) 1806 180 1806 180 **Submission of Information Disclosure Stmt** \$180.00 8021 40 8021 40 Recording each patent assignment per property (times number of properties) 395 1809 790 2809 For filing a submission after final rejection (see 37 CFR 1.129(a)) 1814 130 2814 65 **Statutory Disclaimer** 1810 2810 395 790 For each additional invention to be examined (see 37 CFR 1.129(b)) 395 1801 790 2801 Request for Continued Examination (RCE) 1802 900 1802 900 Request for expedited examination of a design application 1504 300 1504 300 Publication fee for early, voluntary, or normal pub. 1505 300 1505 300 Publication fee for republication 1803 130 1803 130 Request for voluntary publication or republication 1808 130 1808 130 Processing fee under 37 CFR 1.17(i) (except provisionals) 1454 1,370 1454 1,370 Acceptance of unintentionally delayed claim for priority Other fee (specify) Other fee (specify) SUBTOTAL (4) \$180.00 \*Reduced by Basic Filing Fee Paid SUBMITTED BY: Typed or Printed Name: Eric S. Replogle 2005 Signature: **Telephone Number:** <u>408-720-8300</u> Reg. Number: <u>52,161</u>

Send to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450